

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

THIS PAGE BLANK (USPTO)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : A61B 17/39</p>	<p>A1</p>	<p>(11) International Publication Number: WO 98/25533 (43) International Publication Date: 18 June 1998 (18.06.98)</p>
<p>(21) International Application Number: PCT/US97/22591 (22) International Filing Date: 9 December 1997 (09.12.97) (30) Priority Data: 032,804 9 December 1996 (09.12.96) US 792,094 31 January 1997 (31.01.97) US (71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311-1566 (US). (72) Inventor: HEKTNER, Thomas, R.; 825 Navajo Road, Medina, MN 55340 (US). (74) Agents: SEAGER, Glenn, M. et al.; Crompton, Seager & Tufte LLC, Suite 895, 331 Second Avenue South, Minneapolis, MN 55401-2246 (US).</p>		<p>(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i></p>
<p>(54) Title: RADIO FREQUENCY TRANSMYOCARDIAL REVASCULARIZATION CORER</p> <div data-bbox="347 1163 1122 1465"></div> <p>(57) Abstract</p> <p>This invention is an RF activated catheter apparatus (100) for performing transmymocardial revascularization. The catheter apparatus includes an elongate catheter shaft (118) having proximal and distal ends, the distal end including an RF emitter (148) which is coupled to an RF generator (26) for cutting channels into the myocardium of a patient's heart.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

RADIO FREQUENCY TRANSMYOCARDIAL REVASCULARIZATION CORERRelated Cases

This application claims the benefit of U.S. Provisional Application No. 60/032,804, filed December 9, 5 1996. The present application is related to U.S. Patent Application Serial No. _____, filed _____, entitled "Radio Frequency Transmyocardial Revascularization", and is incorporated herein by reference.

10

Field of the Invention

The present invention pertains to a device and method for performing transmyocardial revascularization (TMR) using radio frequency (RF) energy.

15

Background of the Invention

A number of techniques are available for treating cardiovascular disease such as cardiovascular bypass surgery, coronary angioplasty, laser angioplasty and 20 atherectomy. These techniques are generally applied to bypass or open lesions in coronary vessels to restore or increase blood flow to the heart muscle. In some patient's the number of lesions is so great, or the location so remote in the patient's vasculature, that 25 restoring adequate blood flow to the heart muscle is difficult.

TMR has been developed as an alternative to these techniques which are directed at bypassing or removing lesions. TMR is performed by boring channels directly into the myocardium of the heart. In one such procedure, a laser catheter is advanced into the left ventricle. Laser radiation is then focused on the myocardium to create a channel. It has been found that creating several channels may be useful.

TMR has been performed by forming channels with laser energy as described above. TMR has also been performed by cutting a channel with a sharpened probe or blade. The channels cut by laser have a width proportional to the width of the focused laser radiation used to make the channels. When a laser is used, tissue is vaporized to form the channel. When the procedure is performed with a blade, tissue is not removed, but merely pierced or cut.

Lasers used to performed TMR can be costly and the depth of the channels formed can be difficult to control. Cutting the myocardium with a blade does not remove material from the incision. Removing, or in the case of the TMR laser techniques, vaporization of tissue is believed to enhance the success of the TMR procedure.

Summary of the Invention

The present invention pertains to an apparatus and method for performing TMR using RF energy. The apparatus and method of the present invention provides a means for performing TMR by creating channels in the myocardium of the patient's heart which can vary in length and width. The depth of the channels is generally believed to be directly proportional to the distance which the catheter of the present invention is advanced into the patient's myocardium.

Two theories underlie this procedure. The leading theory holds that creation of the channels causes angiogenesis as a healing response. When angiogenesis occurs, additional blood vessels grow in the myocardium near the channels. The second theory of TMR is that the creation of channels provides direct access of pooled blood in the heart to the heart muscle.

In one embodiment of the present invention, an RF activated catheter is provided for boring channels into the myocardium of a patient's heart. The RF activated catheter includes an elongate shaft having a proximal end and a distal end. A lumen extends through the shaft between the proximal and distal ends. A cutting tip is disposed at the distal end of the shaft. The cutting tip has proximal and distal ends and a lumen extending therebetween in fluid communication with the shaft lumen.

A wire connects the cutting tip to an RF generator. The distal end of the tip is sharpened.

The catheter is used in a catheter assembly including an RF generator coupled to the cutting tip. A
5 vacuum source is connected to the catheter proximate its proximal end and is in fluid communication with the catheter shaft lumen.

The catheter assembly preferably includes a second catheter having a proximal end and a distal end and a
10 lumen extending therethrough between the ends. The first catheter can be advanced through the lumen of the second catheter. The second catheter preferably includes a balloon disposed around and at its distal end. An inflation lumen is provided through the second catheter
15 in fluid communication with the balloon.

To perform TMR using this catheter assembly, the cutting tip is advanced to the patient's heart. This is preferably done percutaneously via the femoral artery. The RF generator is activated to deliver RF energy to the
20 cutting tip. The cutting tip is advanced into the myocardium of the patient's heart to form channels therein. A second catheter can be disposed over the distal cutting tip to isolate the cutting tip from pooled blood within the patient's ventricle. Tissue within the
25 cutting tip can be aspirated through the RF activated catheter.

Brief Description of the Drawings

Figure 1 is a cut-away view of a human heart including an RF transmyocardial revascularization catheter apparatus in accordance with the present invention;

Figure 2 is a diagram of the RF transmyocardial revascularization assembly including RF generator ground plane and catheter; and

Figure 3 is a cross sectional view of the distal end of the catheter apparatus of Figure 2.

Detailed Description of the Invention

Referring now to the drawings wherein like reference numerals represent like elements throughout the several views, Figure 1 is a view of a portion of an radio frequency transmyocardial revascularization (RF TMR) catheter assembly 100 disposed within an aorta 12 and a left ventricle 14 of a heart 16. The elements of catheter assembly shown in Figure 1 include an RF activated catheter 118, partially extending from a tubular catheter 120. Catheter 118 can be deflectable or steerable with wires (not shown). Catheter 120 can be a guide catheter, deflectable tip catheter or the like, for advancing RF activated catheter 118 therethrough or to shield portions of a patient's anatomy from RF energy emitted from catheter 118. Three channels 22 cut by

catheter 118 are shown in myocardium 24 of heart 16. As a consequence of creating these channels by performing the TMR procedure, it is believed that revascularization of the myocardium near the channels occurs by angiogenesis, the channels themselves provide access by pooled blood from ventricle 14 to myocardial tissue or both.

Figure 2 is an embodiment 100 of the RF TMR catheter assembly in accordance with the present invention. Embodiment 100 includes an RF activated catheter 118 electrically connected at its proximal end near handle 136 to RF generator 26 by cable 34. Disposed at the distal end of catheter 118 is a cutting tip 148. A vacuum generator 156 is fluidly connected by tube 158 to catheter 118 at a tee 160, which is in turn is in fluid communication with distal tip 148 by way of a lumen (Figure 3) 162 extending through catheter 118. Vacuum generator 156 is connected to a power source by way of cable 164 to remove tissue to avoid embolizing or to take a specimen.

Catheter 118 as shown in Figure 2 is disposed through a tubular catheter 120 having a balloon 166 disposed at its distal end. Disposed at the proximal end of catheter 120 is an adaptor 140 having a side arm 142 in fluid communication with a central lumen 168 (Figure 3) extending through catheter 120. A second side branch

170 of adaptor 140 is in fluid communication with an inflation lumen 172 (Figure 3) extending through catheter 120 to balloon 166.

Figure 3 is a cross sectional view of the distal ends of catheters 118 and 120. In this view, the distal end of catheter 120 abuts a heart wall 174 of heart 16. Distal cutting tip 148 of RF activated catheter 118 is shown extended into wall 174. Balloon 166 is shown inflated to shield pooled blood from RF energy. Catheter 120 and balloon 166 can be formed from typical guide catheter and angioplasty balloon materials, respectively, as well known to those skilled in the art.

Catheter 118 preferably includes a shaft portion 176 defining a portion of lumen 162 extending proximally from cutting tip 148, tip 148 defines the distal-most portion of lumen 162. Shaft 176 is readily formed from a biocompatible polymer well known to those skilled in the art of catheter construction having sufficient rigidity to allow cutting tip 148 to be pushed into heart wall 174.

Cutting tip 148 preferably has a sharpened distal edge 178 surrounding the distal opening of lumen 162. Tip 148 can include a sharp point 180 similar to that of hypodermic needle. The cutting tip 148 is preferably formed from stainless steel or other biocompatible metal. The proximal end of tip 148 is bonded or adhered to the

distal end of shaft 176 in a manner known to those skilled in the art. The length of tip 148 varies according to channel requirement. In an exemplary embodiment, the outside diameter of cutting tip 148 is
5 one millimeter and the inside diameter is 0.9 millimeters, but may vary depending on channel width desired. An RF transmission wire 182 connects cutting tip 148 to the proximal end of catheter 118 for interconnection with the RF generator 26.

10 In use, the cutting tip 148 of catheter 118 and the distal end of catheter 120 are advanced to the patient's heart 16, the hibernatory tissue to be cut having previously been identified by means known to those skilled in the art. Typically, hibernating tissue can be
15 identified by injecting contrast medium into coronary vessels to identify regions of the heart into which the contrast medium does not flow due to obstruction of the vessels into which the medium is injected. In this case, the hibernating region will be identified by the lack of
20 flow or abnormally low flow distally of the obstruction in the coronary vessel or vessels. Alternatively, the contrast medium can be injected directly into the heart chambers to identify areas within the chamber or chambers which have generally stagnant, pooled blood. If contrast
25 medium has been injected into the coronary vessels, those regions of the heart into which the contrast medium does

not flow, would be candidates for the RF TMR procedure. If contrast medium is injected directly into the heart chambers, the regions of the heart adjacent to the generally stagnant pooled blood would be candidates for
5 the RF TMR procedure.

Access to the patient's heart will generally be obtained percutaneously through aorta 12 and ventricle 14. Balloon 166 can be inflated to help shield pooled blood within the ventricle from RF energy. As shown in
10 Figure 5, catheter 118 is advanced from a position A into the myocardium of the patient's heart at position B.

RF generator 26 is activated to emit RF energy from cutting tip 148. As cutting tip 148 is advanced into the myocardium, the RF energy loosens the material within
15 lumen 162 from the heart. A plug of tissue 184 can then be aspirated through lumen 162 by vacuum generator 156.

The diameter of cutting tip 148 can be varied to vary the diameter of the channel formed by this procedure. Additionally, the RF output of RF generator
20 can be varied by increasing pulse duration of the application of RF or the power of the RF radiation to speed tissue removal.

Numerous characteristics and advantages of the invention covered by this document have been set forth in
25 the foregoing description. It will be understood, however, that this disclosure is, in many respects, only

illustrative. Changes may be made in details, particularly in matters of shape, size, and arrangement of parts without exceeding the scope of the invention. The inventions's scope is, of course, defined in the
5 language in which the appended claims are expressed.

What is claimed is:

1. A radio frequency activated catheter, comprising:

an elongate shaft having a proximal end and a distal end and a lumen therethrough extending between the proximal and distal ends;

a cutting tip disposed at the distal end of the shaft, the cutting tip having a proximal end a distal end and a lumen extending therebetween in fluid communication with the shaft lumen; and

means for connecting the cutting tip to a radio frequency generator.

2. A radio frequency activated catheter in accordance with claim 1, wherein the cutting tip is metallic.

3. A radio frequency activated catheter in accordance with claim 2, wherein the distal end of the cutting tip is sharpened.

4. A radio frequency activated catheter in accordance with claim 1, wherein the means for connecting includes wire.

5. A radio frequency activated catheter assembly, comprising:

a first catheter including an elongate shaft having a proximal end and distal end, a lumen extending therethrough between the proximal and distal ends, and a cutting tip disposed at the distal end of the shaft, the cutting tip having a proximal end and a distal end, and a lumen extending therebetween in fluid communication with the shaft lumen;

a radio frequency generator;

a means for connecting the cutting tip to the radio frequency generator; and

a vacuum source connected to the catheter proximate the proximal end of the catheter and in fluid communication with the lumens.

6. A radio frequency activated catheter assembly in accordance with claim 5, further comprising a second catheter having a proximal end and a distal end and a lumen extending therebetween, and the radio frequency catheter being disposed at least partially within the lumen of the second catheter.

7. A radio frequency activated catheter assembly in accordance with claim 6, wherein the second catheter further comprises a balloon disposed at the distal end

thereof and an inflation lumen extending between the proximal and distal ends of the second catheter being in fluid communication with the balloon.

8. A radio frequency activated catheter assembly in accordance with claim 5, wherein the cutting tip is metallic.

9. A radio frequency activated catheter assembly in accordance with claim 8, wherein the distal end of the cutting tip is sharpened.

10. A radio frequency activated catheter assembly in accordance with claim 5, wherein the means for connecting includes wire.

11. A method of performing a transmyocardial revascularization, comprising the steps of:

providing a catheter including an elongate shaft having a proximal end and a distal end and a lumen extending therebetween, and a cutting tip disposed at the distal end of the shaft, the cutting tip having a proximal end and a distal end and lumen extending therebetween in fluid communication with the shaft lumen, the cutting tip being coupled to a radio frequency generator;

advancing the cutting tip to a patient's heart;
activating the radio frequency generator to deliver
radio frequency energy to the cutting tip; and
advancing the cutting tip into the myocardium of the
patient's heart to bore a channel therein.

12. A method of performing transmyocardial
revascularization in accordance with claim 11, wherein
the cutting tip is advanced into the patient's ventricle.

13. A method of performing transmyocardial
revascularization in accordance with claim 12, further
comprising the steps of:

providing a second catheter having a proximal end
and a distal end and lumen extending therebetween;

disposing the second catheter over the first
catheter such that the distal end of the first catheter
is proximate the distal end of the second catheter;

bringing the distal end of the second catheter into
contact with the patient's heart while the radio
frequency catheter extends through the lumen of the
second catheter;

inflating a balloon disposed at the distal end of
the second catheter to isolate the cutting tip from the
blood pool within the patient's ventricle.

14. A method of performing transmyocardial revascularization in accordance with claim 11, further comprising the step of aspirating tissue from within the cutting tip through the lumen of the first catheter.

Fig.1

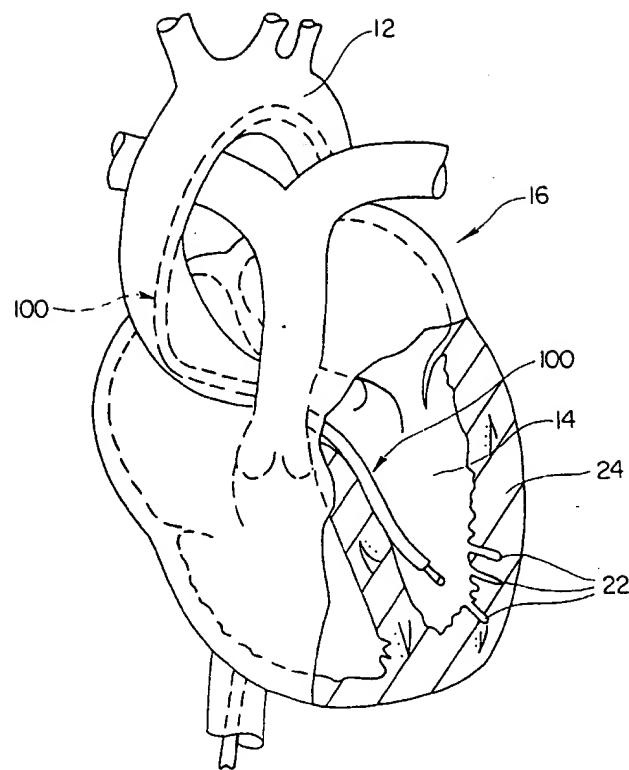


Fig. 2

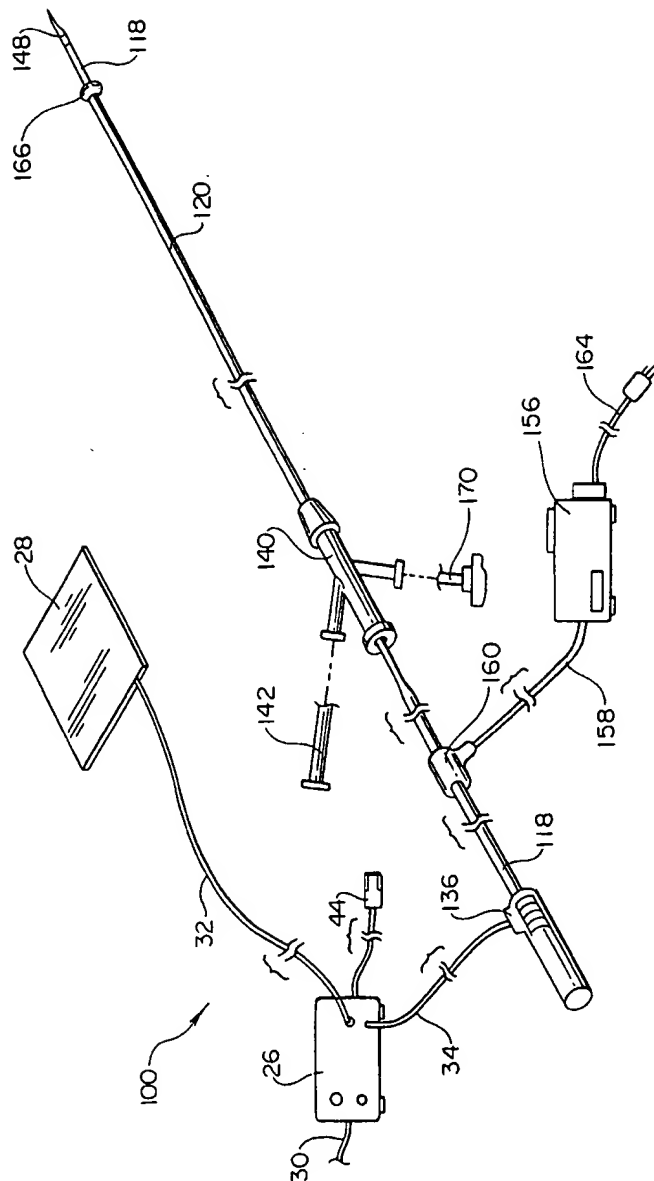
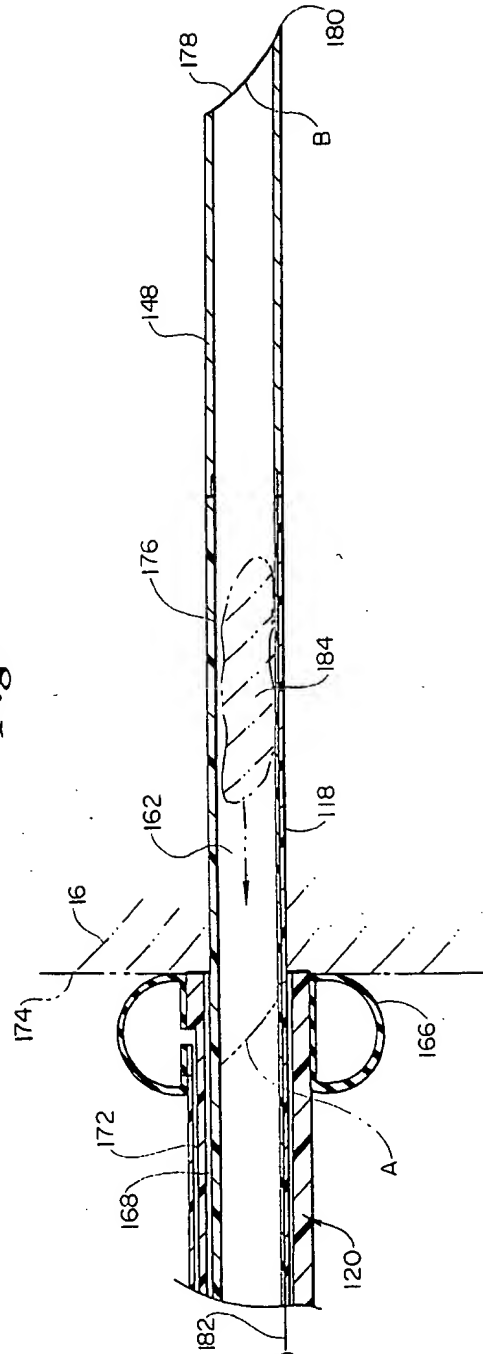


Fig. 3



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/22591

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :A61B 17/39 US CL :606/41,45 According to International Patent Classification (IPC) or to both national classification and IPC																				
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S. : 600/373, 374; 606/28, 34, 37, 41, 45, 46; 607/119,122 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS Search Terms: transmyocardial revascularization																				
C. DOCUMENTS CONSIDERED TO BE RELEVANT																				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.																		
X	US 5,403,311 A (ABELE et al.) 04 April 1995, Figs. 2, 3a, 5 and 6, and col. 3 lines 29-40.	1-4																		
X --- Y	US 5,370,675 A (EDWARDS et al) 06 December 1994, Figs. 1-4, 11-13, and 27-29; col. 3, lines 64-68; col. 12 lines 60-63; and rest of disclosure.	5-10 ----- 14																		
X --- Y	DE 296 09 350 A (OSYPKA) 10 October 1996, entire document.	11, 12 ----- 14																		
P,A	US 5,683,366 A (EGGERS et al) 04 November 1997, entire document.	1-14																		
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.																				
<table border="0"><tr><td>* Special categories of cited documents:</td><td>*T</td><td>later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td></tr><tr><td>*A* document defining the general state of the art which is not considered to be of particular relevance</td><td>*X*</td><td>document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td></tr><tr><td>*E* earlier document published on or after the international filing date</td><td>*Y*</td><td>document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td></tr><tr><td>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td><td>*A*</td><td>document member of the same patent family</td></tr><tr><td>*O* document referring to an oral disclosure, use, exhibition or other means</td><td></td><td></td></tr><tr><td>*P* document published prior to the international filing date but later than the priority date claimed</td><td></td><td></td></tr></table>			* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family	*O* document referring to an oral disclosure, use, exhibition or other means			*P* document published prior to the international filing date but later than the priority date claimed		
* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention																		
A document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone																		
E earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art																		
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family																		
O document referring to an oral disclosure, use, exhibition or other means																				
P document published prior to the international filing date but later than the priority date claimed																				
Date of the actual completion of the international search 16 FEBRUARY 1998		Date of mailing of the international search report 09 MAR 1998																		
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer <i>Diane Smith for</i> DAVID RUDDY Telephone No. (703) 308-3595																		

THIS PAGE BLANK (USPTO)